

# 科学计算中的量子算法：量子傅立叶变换与量子相位估计

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# 大纲

- ▶ 量子傅立叶变换 (Quantum Fourier Transform)
- ▶ 量子相位估计 (Quantum Phase Estimation)

# 量子傅立叶变换

定义：

$$U_{\text{QFT}} |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{i2\pi jk/N} |k\rangle, \quad U_{\text{QFT}} = \frac{1}{\sqrt{N}} (e^{i2\pi jk/N})_{j \in [N], k \in [N]}$$

$$U_{\text{QFT}}^\dagger |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{-i2\pi jk/N} |k\rangle$$

$$U_{\text{QFT}} |0\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} |k\rangle = H^{\otimes n} |0\rangle$$

- ▶ 传统意义上的逆傅立叶变换
- ▶ 传统快速傅立叶变换 (FFT):  $\mathcal{O}(N \log(N))$

## 量子傅立叶变换 (QFT)

$$U_{\text{QFT}} |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{i2\pi jk/N} |k\rangle$$

核心思想： $U_{\text{QFT}}$  具有“类似于张量积”的形式

# QFT：记号

$$N = 2^n$$

$$k = (k_{n-1} \cdots k_1 k_0)_2 = \sum_{l=0}^{n-1} k_l 2^l$$

$$j = (j_{n-1} \cdots j_1 j_0)_2 = \sum_{l=0}^{n-1} j_l 2^l$$

# QFT：分解

$$\begin{aligned}\frac{jk}{N} &= \frac{j}{2^n} \sum_{l=0}^{n-1} k_l 2^l = \sum_{l=0}^{n-1} k_l \frac{j}{2^{n-l}} \\ &= k_0(j_{n-1} j_{n-2} \cdots j_1 j_0)_2 + k_1(j_{n-1} j_{n-2} \cdots j_1 j_0)_2 + \cdots + k_{n-1}(j_{n-1} j_{n-2} \cdots j_1 j_0)_2\end{aligned}$$

$$\begin{aligned}e^{i2\pi jk/N} &= e^{i2\pi k_0(j_{n-1} \cdots j_0)_2} e^{i2\pi k_1(j_{n-1} j_{n-2} \cdots j_0)_2} \cdots e^{i2\pi k_{n-2}(j_{n-1} \cdots j_2 j_1 j_0)_2} e^{i2\pi k_{n-1}(j_{n-1} \cdots j_1 j_0)_2} \\ &= e^{i2\pi k_0(j_{n-1} \cdots j_0)_2} e^{i2\pi k_1(j_{n-2} \cdots j_0)_2} \cdots e^{i2\pi k_{n-2}(j_1 j_0)_2} e^{i2\pi k_{n-1}(j_0)_2}\end{aligned}$$

## QFT：分解

$$\begin{aligned} U_{\text{QFT}} |j\rangle &= \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{i2\pi jk/N} |k\rangle \\ &= \frac{1}{\sqrt{2^n}} \sum_{k_{n-1}, \dots, k_0} e^{i2\pi k_0(j_{n-1} \cdots j_0)_2} e^{i2\pi k_1(j_{n-2} \cdots j_0)_2} \cdots e^{i2\pi k_{n-2}(j_1 j_0)_2} e^{i2\pi k_{n-1}(j_0)_2} |k_{n-1} \cdots k_1 k_0\rangle \\ &= \frac{1}{\sqrt{2^n}} \left( \sum_{k_{n-1}} e^{i2\pi k_{n-1}(j_0)_2} |k_{n-1}\rangle \right) \otimes \left( \sum_{k_{n-2}} e^{i2\pi k_{n-2}(j_1 j_0)_2} |k_{n-2}\rangle \right) \\ &\quad \otimes \cdots \otimes \left( \sum_{k_0} e^{i2\pi k_0(j_{n-1} \cdots j_1 j_0)_2} |k_0\rangle \right) \\ &= \frac{1}{\sqrt{2^n}} \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right) \otimes \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) \otimes \cdots \otimes \left( |0\rangle + e^{i2\pi(j_{n-1} \cdots j_0)_2} |1\rangle \right) \end{aligned}$$

## QFT：分解

$$\begin{aligned} & |j_{n-1}\rangle \otimes |j_{n-2}\rangle \otimes \cdots \otimes |j_1\rangle \otimes |j_0\rangle \\ \mapsto & \frac{1}{\sqrt{2^n}} \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right) \otimes \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) \otimes \cdots \otimes \left( |0\rangle + e^{i2\pi(j_{n-1} \cdots j_0)_2} |1\rangle \right) \end{aligned}$$

我们先暂时交换输出量子比特的顺序，并考虑：

$$\begin{aligned} & |j_{n-1}\rangle \otimes \cdots \otimes |j_1\rangle \otimes |j_0\rangle \\ \mapsto & \frac{1}{\sqrt{2^n}} \left( |0\rangle + e^{i2\pi(j_{n-1} \cdots j_0)_2} |1\rangle \right) \otimes \cdots \otimes \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) \otimes \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right) \end{aligned}$$

# QFT: 算法

**0:** Hadamard

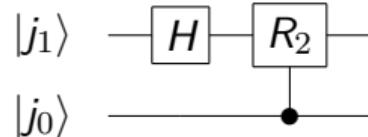
$$|j_0\rangle \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right)$$

**1:**

$$|j_1\rangle \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) = \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(0 j_0)_2} e^{i2\pi(j_1)_2} |1\rangle \right)$$

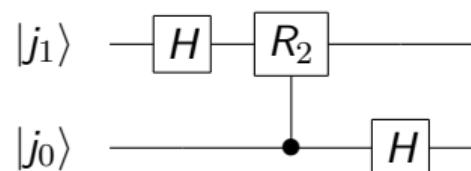
$$|j_1\rangle \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_1)_2} |1\rangle \right) \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(0 j_0)_2} e^{i2\pi(j_1)_2} |1\rangle \right)$$

# QFT：算法



$$R_2 = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/2} \end{pmatrix}$$

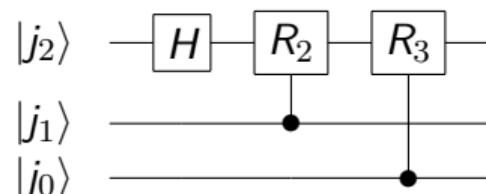
总的运算:  $|j_1\rangle |j_0\rangle \mapsto \frac{1}{\sqrt{2}} (|0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle) \otimes \frac{1}{\sqrt{2}} (|0\rangle + e^{i2\pi(j_0)_2} |1\rangle)$



# QFT: 算法

2:

$$|j_2\rangle \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_2 j_1 j_0)_2} |1\rangle \right) = \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(.00j_0)_2} e^{i2\pi(.0j_1)_2} e^{i2\pi(.j_2)_2} |1\rangle \right)$$

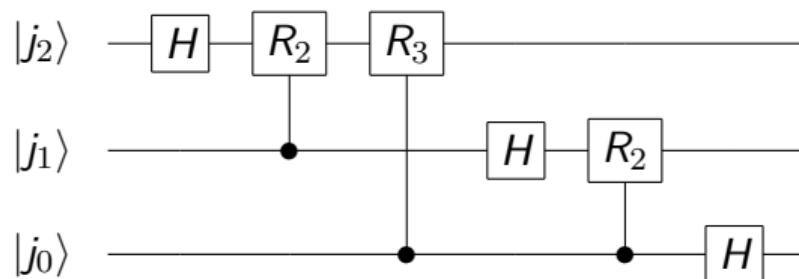


$$R_2 = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/2} \end{pmatrix}, \quad R_3 = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/2^2} \end{pmatrix}$$

# QFT：算法

总的运算：

$$|j_2\rangle |j_1\rangle |j_0\rangle \mapsto \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_2 j_1 j_0)_2} |1\rangle \right) \otimes \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) \otimes \frac{1}{\sqrt{2}} \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right)$$

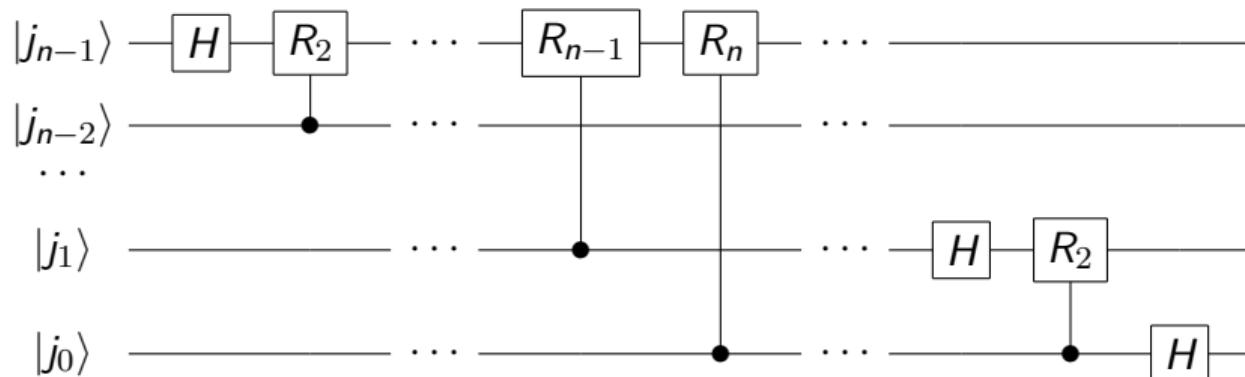


$$R_I = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/2^{I-1}} \end{pmatrix}$$

# QFT：算法

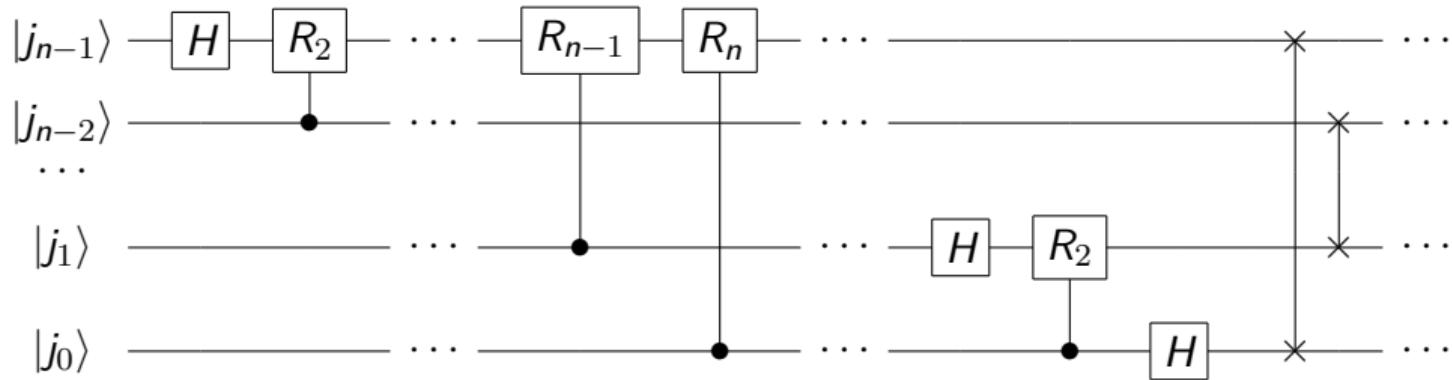
一般情况（注意此时输出比特的顺序是反的）：

$$|j_{n-1}\rangle \otimes \cdots \otimes |j_1\rangle \otimes |j_0\rangle \\ \mapsto \frac{1}{\sqrt{2^n}} \left( |0\rangle + e^{i2\pi(j_{n-1} \cdots j_0)_2} |1\rangle \right) \otimes \cdots \otimes \left( |0\rangle + e^{i2\pi(j_1 j_0)_2} |1\rangle \right) \otimes \left( |0\rangle + e^{i2\pi(j_0)_2} |1\rangle \right)$$



# QFT：算法

QFT 最终算法：



$$R_I = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/2^{I-1}} \end{pmatrix}$$

量子门复杂度： $\mathcal{O}((\log(N))^2)$  (对比经典 FFT： $\mathcal{O}(N \log(N))$ )

# QFT：小结

$$U_{\text{QFT}} |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} e^{i2\pi jk/N} |k\rangle$$

**量子门复杂度：**  $\mathcal{O}(n^2) = \mathcal{O}((\log(N))^2)$

**拓展：**

- ▶ 近似量子傅立叶变换 (Approximate Quantum Fourier Transform)
- ▶ 量子余弦/正弦变换 (Quantum Cosine/Sine Transform)
- ▶ 量子拉普拉斯变换 (Quantum Laplace Transform)

## 量子相位估计 (QPE)

$U$  是一个酉矩阵， $|\psi\rangle$  是它的一个特征向量，满足：

$$U|\psi\rangle = e^{i2\pi\phi} |\psi\rangle, \quad \phi \in [0, 1)$$

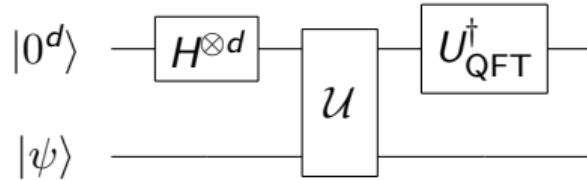
**目标：**求  $\phi$

## QPE: 精确情况

假设  $\phi$  可以被  $d$  位二进制精确表示:

$$\begin{aligned}\phi &= (0.\phi_{d-1} \cdots \phi_1 \phi_0)_2 \\ \iff \exists k \in [2^d] \quad s.t. \quad \phi &= \frac{k}{2^d}\end{aligned}$$

## QPE: 精确情况



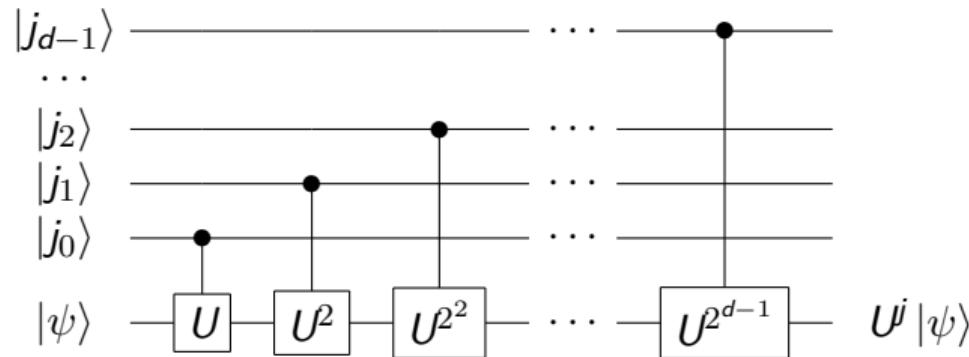
$$\mathcal{U} = \sum_{j \in [2^d]} |j\rangle\langle j| \otimes U^j$$

$$\begin{aligned} |0^d\rangle |\psi\rangle &\rightarrow \frac{1}{\sqrt{2^d}} \sum_{j \in [2^d]} |j\rangle |\psi\rangle \rightarrow \frac{1}{\sqrt{2^d}} \sum_{j \in [2^d]} |j\rangle U^j |\psi\rangle = \frac{1}{\sqrt{2^d}} \sum_{j \in [2^d]} |j\rangle e^{i2\pi\phi j} |\psi\rangle \\ &\rightarrow \frac{1}{2^d} \sum_{j \in [2^d]} \left( \sum_{k' \in [2^d]} e^{-i2\pi j k' / 2^d} |k'\rangle \right) e^{i2\pi\phi j} |\psi\rangle \\ &= \sum_{k' \in [2^d]} \left( \frac{1}{2^d} \sum_{j \in [2^d]} e^{i2\pi j(\phi - k' / 2^d)} \right) |k'\rangle |\psi\rangle = |k\rangle |\psi\rangle \end{aligned}$$

## QPE: 精确情况

$$j = (j_{d-1} \cdots j_1 j_0)_2 = \sum_{l=0}^{d-1} j_l 2^l$$

$$\mathcal{U} = \sum_{j \in [2^d]} |j\rangle \langle j| \otimes U^j = \sum_{j_{d-1}, \dots, j_0} (|j_{d-1}\rangle \langle j_{d-1}| \otimes \cdots \otimes |j_0\rangle \langle j_0|) \otimes \prod_{l=0}^{d-1} (U^{2^l})^{j_l}$$



访问复杂度:  $1 + 2 + 2^2 + \cdots + 2^{d-1} = \mathcal{O}(2^d)$

## QPE: 近似情况

$$U|\psi\rangle = e^{i2\pi\phi} |\psi\rangle, \quad \phi \in [0, 1)$$

1.  $\phi$  不一定有精确  $d$  位二进制表示
2.  $|\psi\rangle$  不一定是精确的特征向量
3.  $U$  的实现可能有误差

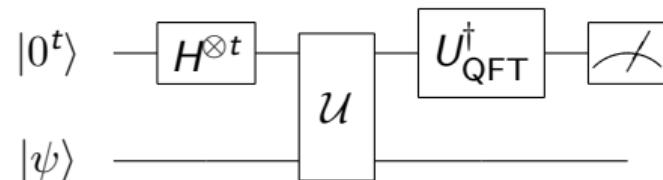
我们接下来分别分析 1 和 3 的情况

## QPE：近似情况

对于一般的  $\phi \in [0, 1)$ , 希望找到一个误差不超过  $\epsilon = 2^{-d}$  的近似

$$|\theta|_1 = \min \{(\theta \bmod 1), 1 - (\theta \bmod 1)\}$$

思路：取足够多 ( $t > d$ ) 的辅助量子比特，使得需要的部分振幅足够大



$$|0^t\rangle |\psi\rangle \rightarrow \sum_{k' \in [T]} \left( \frac{1}{T} \sum_{j \in [T]} e^{i 2\pi j (\phi - k'/T)} \right) |k'\rangle |\psi\rangle$$

## QPE: 近似情况

$$|0^T\rangle |\psi\rangle \rightarrow \sum_{k' \in [T]} \left( \frac{1}{T} \sum_{j \in [T]} e^{i2\pi j(\phi - k'/T)} \right) |k'\rangle |\psi\rangle = \sum_{k' \in [T]} \gamma_{k'} |k'\rangle |\psi\rangle$$

$$\gamma_{k'} = \frac{1}{T} \sum_{j \in [T]} e^{i2\pi j(\phi - k'/T)} = \frac{1}{T} \frac{1 - e^{i2\pi T(\phi - k'/T)}}{1 - e^{i2\pi(\phi - k'/T)}}$$

$$\mathbb{P}(k') = |\gamma_{k'}|^2 = \frac{1}{T^2} \frac{\sin^2(\pi T(\phi - k'/T))}{\sin^2(\pi(\phi - k'/T))} \leq \frac{1}{4T^2 |\phi - k'/T|_1^2}$$

## QPE: 近似情况

记测量之后的第一个寄存器变为  $|\tilde{k}\rangle$ , 对应的特征值估计为  $\tilde{k}/T$

$$\begin{aligned}\mathbb{P}(|\phi - \tilde{k}/T|_1 \geq \epsilon) &= \sum_{k': |\phi - k'/T|_1 \geq \epsilon} \mathbb{P}(k') \leq \sum_{k': |\phi - k'/T|_1 \geq \epsilon} \frac{1}{4T^2 |\phi - k'/T|_1^2} \\ &\leq \frac{1}{2T} \int_x^\infty \frac{1}{x^2} + \frac{1}{2T^2 \epsilon^2} = \frac{1}{2T\epsilon} + \frac{1}{2(T\epsilon)^2} \leq \frac{1}{T\epsilon}\end{aligned}$$

为了保证算法至少以  $1 - \delta$  的概率成功, 我们可以取  $T = 1/(\epsilon\delta)$

- ▶ 访问复杂度:  $\mathcal{O}(T) = \mathcal{O}(1/(\epsilon\delta))$
- ▶ 额外的量子比特数量:  $t = d + \log_2(1/\delta)$

## QPE: 酉矩阵的误差

考虑  $U$  的实际量子线路实现  $\tilde{U}$ , 满足

$$\|\tilde{U} - U\| \leq \epsilon$$

Theorem (Theorem VI.3.11<sup>1</sup>)

设  $U$  和  $\tilde{U}$  是两个酉矩阵,  $\lambda_j$  和  $\tilde{\lambda}_j$  是它们的特征值 (经过适当的重排), 那么

$$|\tilde{\lambda}_j - \lambda_j| \leq \|\tilde{U} - U\|$$

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<sup>1</sup>Rajendra Bhatia. Matrix Analysis, volume 169 of Graduate Texts in Mathematics. Springer, 1997.

## QPE: 酉矩阵的误差

记  $\tilde{\phi}$  是对  $\tilde{U}$  做 QPE 得到的精确结果，那么

$$\left| e^{i2\pi\tilde{\phi}} - e^{i2\pi\phi} \right| \leq \|\tilde{U} - U\| \leq \epsilon.$$

又

$$\left| e^{i2\pi\tilde{\phi}} - e^{i2\pi\phi} \right| = 2 \sin(\pi(\tilde{\phi} - \phi)) \geq 4|\tilde{\phi} - \phi|_1,$$

我们有

$$|\tilde{\phi} - \phi|_1 \leq \frac{\epsilon}{4}.$$

# QPE：小结

$$U|\psi\rangle = e^{i2\pi\phi} |\psi\rangle, \quad \phi \in [0, 1)$$

**访问复杂度：**  $\mathcal{O}(1/(\epsilon\delta))$

**额外的量子比特数量：**  $\log_2(1/\epsilon) + \log_2(1/\delta)$

**拓展：**

- ▶ QPE 的初始化
- ▶ 其他版本的 QPE

# 阅读

阅读：

- ▶ LL: Chapter 3.3, 3.4, 3.5, 3.2\*